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# A Novel Enhanced Communication Co-operative MAC Protocol in MANETS

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#### Abstract

In this paper, we evaluate the performance of an enhanced cooperative MAC with busy tone (eBT-COMAC) protocol in mobile ad hoc networks via a combination of theoretical analysis and numerical simulation. Our previously proposed BT-COMAC protocol was enhanced by (1) redesigning the minislots used in the helper node selection procedure; (2) specifying complete frame formats for newly defined and modified control frames; and (3) using a new metric (the received SNR rather than the received power) in the helper node competition. In this eBT-

COMAC protocol, cooperation probability is calculated based on a geometric analysis, and a Markov chain-based model is used to derive steady-state probabilities for backoff-related parameters. These results are used to analytically characterize two performance measures: system throughput and channel access delay. Numerical simulation of a mobile wireless network where all communication nodes are assumed to be uniformly distributed in space and move independently based on a random waypoint model is used to validate the analytical results and demonstrate the performance gains achieved by the proposed eBT-COMAC protocol.

Keywords: Cooperative communication, eBT-COMAC protocol, Helper node selection, Received SNR

#### Introduction

With the remarkable development of wireless technolo-gies, 4G mobile communication systems can support peak data transmission rates up to 3 Gbps [1]. However, when mobile nodes are located around a cell boundary or when two mobile nodes in a mobile ad hoc network [2] are located far away from each other, severe fading occurs, resulting in a large number of transmission errors. This form of wireless channel impairment can be overcome with multiple input multiple output (MIMO) technology. However, it is not always possible to include multiple antennas in a small mobile node. Cooperative communication is an alternative approach for overcoming the effect of channel fading [3]. An example of coopera-tive communication is shown in Fig. 1. If any node is located at an intermediate position between a sender and a receiver node, for example, in the shaded area in Fig. 1

The frame exchange procedure for the eBT-COMAC pro-tocol for cooperative communications is given in Fig. 2. Any sender node that has data to send begins its trans-mission by sending a cooperative request-to-send (CRTS) frame. When the receiver successfully receives the CRTS frame, it replies with a cooperative clear-to-send (CCTS) frame. After receiving the CRTS and CCTS frames, all mobile nodes located between the sender and receiver nodes can calculate two transmission rates,  $R_{SH}$ ,  $R_{HR}$ , based on the received SNR. The direct transmission rate  $R_{SR}$  can be obtained from the physical layer convergence procedure (PLCP) header of the CCTS frame. Any can-didate helper node whose two-hop effective rate ( $R_{e1}$ ) is greater than the one-hop effective rate ( $R_{e1}$ ) sends a short busy signal to notify all surrounding nodes that there is at least one eligible candidate helper node and thus, thehelper node selection procedure will start. The helper node selection procedure consists of three steps: harsh contention (HC), exact contention (EC), and random con-tention (RC).

Each contention consists of several minislots or slots. The size of each HC and EC minislot is the same as slot size ( $\sigma$ ), as shown in Table 4, and the size of RC slots is the same as the request-to-help (RTH) frame transmission time at the basic rate. If any optimal node is decided from the helper node selection scheme, this node plays the role of the helper node, at which time two - hop communication begins. The effective transmission rate represents the ratio of DATA length in bits to the required time period in seconds from the end of the busy signal to the successful reception of the acknowledgement (ACK) frame. One- and two-hop effective transmission rates are calculated as follows this helper node can assist in the transmission process and help increase system throughput. In any cooperative communication scheme, finding the best helper node is critical. Helper node selection schemes are classified as two types: proactive and reactive schemes. In a proac-tive scheme, every mobile node maintains its relay table where wireless channel status with its neighboring nodes is stored [4-8, 23]. Each node shares a relay table with its neighboring nodes by periodically broadcasting some messages. Therefore, when a sender node wants to send a data packet to its destination node, it can find its helper node based on its relay table. In reactive schemes, the sender node begins the search for a helper node after the exchange of control frames [9, 10, 13–15, 20]. Although it takes time to select an optimal helper node, this reac-tive scheme guarantees that the newly selected helper node has a more conducive wireless channel than that in a proactive scheme. Initial studies in the area of coopera-tive medium access control (MAC) protocols focused on proactive schemes. However, reactive helper node selec-tion schemes have gained popularity because (1) proactive schemes impose a greater load on both the network and processors within a node; and (2) there is no guarantee that the helper node chosen via a proactive scheme is optimal at data transmission time. In this work, we are interested in enhancing system performance with a new reactive helper node selection process in wireless local area networks (WLANs).



Fig. 1 Example of cooperative communications

## 1.1 Related work

Most studies on cooperative MAC protocols follow the IEEE 802.11 WLAN design principle [11] and thus, only IEEE 802.11-based cooperative MAC protocols with link adaptation [12] are surveyed in this paper. There are three typical studies on reactive helper node selection schemes. In [13], three busy signals are used to find an optimal helper node, which is not energy efficient. A three -step helper node selection scheme was adopted in two pre-vious studies [14, 15] consisting of GI (group indica-tion), MI (member indication), and K minislot contention. The optimal cooperation region and system parameters were determined in [14] while an additional energy met-ric was used to select the best helper node in order to increase network lifetime in [15]. However, all three of these schemes use data transmission rates-related metrics for their helper node selection procedures, which has its drawbacks, as will be discussed in Section 1.2There have also been several recent studies on cooper-ative MAC protocol design [16–19].

In [16], three trans-misson modes are suggested where relay nodes were chosen based on proactive mechanisms: direct transmis-sion, cooperative relay transmission, and two-hop relay transmission. Cooperative relay transmission mode is used for increasing system throughput while the two-hop relay transmission mode helps extend the service range. However, there is no suggested algorithm for choosingan appropriate mode. In [17], a new cooperative MAC protocol based on a three-way handshake with request to send (RTS), clear to send (CTS), and relay ready to send (RRTS) is proposed. Its reactive relay node selection scheme is based on the fact that the fastest relay candi-date will reply to an RRTS frame earlier. However, [17] does not consider the possibility of relay node compe-tition and approaches to deal with collisions. In [18], a helper node initiated cooperative MAC protocol is pro-posed. Helper nodes are decided in advance with the help of a relay table, and they initiate cooperative communica-tion by sending a helper clear to send (HCTS) frame when the transmission rate between sender and receiver nodes falls below a threshold. In [19], three data transmission mode is suggested in [19]. It is described that the optimal helper node is chosen via the shortest path algorithm. However, there is no detailed discussion on how to select the optimal helper node. Therefore, issues such as helper node competition and whether the short-est path can be decided without additional control frame exchanges remain unanswered. In this paper, we aim to address these issues via the design and analysis of a new cooperative MAC protocol.

## 1.2 Contributions

In this paper, a new cooperative MAC protocol, enhanced cooperative MAC with busy tone signal (eBT-COMAC) protocol is proposed and a mathematical analysis and simulation are carried out on it. This protocol is an enhanced version of our previously proposed MAC pro-tocol [20]. The eBT-COMAC protocol includes a reactive helper node selection scheme with a three-step helpernode selection scheme. The key difference between our proposed helper node selection scheme and prior work [14, 15] is that we use a received signal-to-noise ratio (SNR) value in the minislot contentions rather than trans-mission rates, which were used in two previous studies. In general, the received SNR is closely related to transmis-sion rates. However, because the number of transmission rates is limited (i.e., in IEEE 802.11b, there are four data transmission rates: 1, 2, 5.5, and 11 Mbps), the previ-ous schemes may have the problem that candidate helper nodes with the same transmission rates can experience continuous collisions in minislot contentions. The main contributions of our study include the following:

- The use of a new reactive helper node selection scheme with received SNR as the selection metric;
- Clear design of the packet formats for the required control frames for eBT-COMAC protocol in order to support the helper node selection scheme;
- Presentation and validation (via computer simulation) of a comprehensive mathematical analysis of the throughput and delay associated with eBT-COMAC;
- The provision of increased system throughput performance with the eBT-COMAC protocol that is 58% higher than IEEE 802.11 WLAN [11] and 6% higher than prior work [14];
- Easy extension of the entire approach to current standards, although IEEE 802.11b WLAN is the standard considered in this work.

This paper consists of five sections. A detailed expla-nation of the eBT-COMAC protocol is presented in Section 2; the system model and performance analysis are discussed in Section 3. The numerical results from the analysis and simulation are described in Section 4, and Section 5 presents the conclusions.

#### 2 eBT-COMAC protocol

The frame exchange procedure for the eBT-COMAC pro-tocol for cooperative communications is given in Fig. 2. Any sender node that has data to send begins its trans-mission by sending a cooperative request-to-send (CRTS) frame. When the receiver successfully receives the CRTS frame, it replies with a cooperative clear-to-send (CCTS) frame. After receiving the CRTS and CCTS frames, all mobile nodes located between the sender and receiver nodes can calculate two transmission rates,  $R_{SH}$ ,  $R_{HR}$ , based on the received SNR. The direct transmission rate  $R_{SR}$  can be obtained from the physical layer convergence procedure (PLCP) header of the CCTS frame. Any can-didate helper node whose two-hop effective rate ( $R_{e2}$ ) is greater than the one-hop effective rate ( $R_{e1}$ ) sends a short busy signal to notify all surrounding nodes that there is at least one eligible candidate helper node and thus, thehelper node selection procedure will start. The helper node selection procedure consists of several minislots or slots. The size of each HC and EC minislot is the same as slot size ( $\sigma$ ), as shown in Table 4, and the size of RC slots is the same as the request-to-help (RTH) frame transmission time at the basic rate. If any optimal node is decided from the helper node selection scheme, this node plays the role of the helper node, at which time two - hop communication begins. The effective transmission rate represents the ratio of DATA length in bits to the required time period in seconds from the end of the busy signal to the successful reception of the acknowledgement (ACK) frame. One- and two-hop effective transmission rates are calculated as follows [20]:

$$L_{d}$$

$$^{R}e1,2 = \overline{T_{O} + T_{D}}, 1: S-R, 2: S-H-R \qquad (1)$$

$$T_{D} = \frac{L_{d}}{L_{d}} L_{d}$$

$$^{R}SR, S-R$$

$$\overline{R_{H}}$$

$$T_{O} \qquad (N_{HC} + N_{EC})\sigma + N_{RC} T_{RTH}$$

$$= \frac{SIFS + T_{ACK}, S-R}{3^{T}CTH} 3SIFS T_{ACK}, S-H-R$$

Here,  $L_d$  is the DATA length in bits;  $N_{HC(EC)}$  is the number of HC (EC) minislots;  $N_{RC}$  is the number of RC slots;  $T_{ACK}$ <sub>,RTH,CTH</sub> are the transmission times of control frames ACK, RTH, and clear- to-help (CTH), respectively, and  $R_{SH(HR)}$ corresponds to the DATA frame transmis-sion rates between a sender and a helper (a helper and a receiver) node; *SIFS* is a MAC parameter representing short interframe space.

Detailed control frames used in the eBT-COMAC pro-tocol are described in Fig. 3. The eBT-COMAC protocol is designed based on the IEEE 802.11 WLAN standard. Two control frames, the RTH and CTH frames, are newly suggested and the CRTS frame has a new field "PKT\_LEN," which stands for data packet length in bytes. The CTH frame has two different formats, namely, long CTH and short CTH. The long CTH is a full-sized frame with three optional fields, helper node address (HA), and two possi-ble transmission rates between sender and helper nodes ( $R_{SH}$ ) and helper and receiver nodes ( $R_{HR}$ ). This long CTH is used when helper node selection competition is suc-cessful. On the other hand, the short CTH does not have three optional fields and it is used when the helper node selection competition fails. That is, long CTH is a positive response but short CTH is a negative response for RTH transmissions in HC, EC, and RC contention.

## 2.1 Helper Node Selection

The proposed eBT-COMAC protocol uses a reactive helper node selection scheme. Thus, the helper node selection procedure starts after the sender and the receiver nodes exchange CRTS and CCTS frames. The helper node selection scheme in eBT-COMAC consists of three steps. The goal of HC and EC minislot contention is to find the optimal helper node, and the RC slot con-tention is to select one helper node on a probabilistic basis. The metric used in this contention is a utility *U*, cor-responding to the received SNR in the dB scale, i.e.,  $U \equiv \log SNR_{rcvd}$ . HC and EC consist of  $N_{HC}$  and  $N_{EC}$  minis-lots. The contention is carried out with the help of an RTH



**Fig. 2** Frame exchange chart for the eBT-COMAC protocol ( $N_{HC} = N_{EC} = N_{RC} = 3$ )

frame transmission in the appropriate minislot. Earlier, HC and EC minislots are assigned for the candidate helper nodes with greater utility values. In HC and EC minis-lot contention, if any candidate helper node observes that another node has transmitted an RTH frame earlier than itself, it exits the competition. The utility window between  $U_{max}$  and  $U_{min}$  is uniformly divided, and the mapping rule between the utility values and HC and EC minis-lot numbers can be explained by examining Fig. 4 when  $N_{HC} = N_{EC} = 3$ . Here,  $U_i = U_{|rmmax} - iU_{inc}$ , i = 1, 2,



Fig. 3 Control frame format



Fig. 4 Example of mapping between utility values and minislots

where  $Uinc = \frac{Umax-Umin}{2}$ . If there is a collision in minislot 3 2 at HC minislot contention, those candidates involved in the collision begin their contention again at the EC minis-lots. In this case,  $U_1$  and  $U_2$  in the HC contention become  $U_{max}$  and  $U_{min}$  in the EC minislot contention. If there is a continuous collision in the EC minislot contention, those candidates involved in the collision move into the RC slot contention. The RC slot contention is based on random selection. Those candidate nodes that are involved in the RTH frame collision in the EC minislot contention gen-erate a random number between 1 and  $N_{RC}$ . Then, they transmit their RTH frame in the assigned slot. If there is more than one successful slot in this contention, the candidate that sent its RTH in the earlier slot has prior-ity and then this candidate is chosen as the final helper node. The sender node decides the winner of the competition. If a helper node wins the competition, the sender node transmits a long CTH frame. Otherwise, the sender node transmits a short CTH frame. The "feedback" field in the CTH frame contains the competition result (C\_result). "11" in "C\_result" means that the competition was successful and "00" means failure in the competition. The flow chart for the operation at a candidate helper node is shown in Fig. 5.

#### **3** Performance evaluation

Our goal is to analyze the eBT-COMAC protocol and quantify its throughput and channel access delay. The procedure to achieve this goal involves several inter-mediate results. First, cooperation probability and suc-cessful helper node selection probability are derived. Then, the steady-state probability for the three system state variables related to the backoff operation is eval-uated. Finally, based on the calculation of average time slot size, the system throughput and channel access delay are derived. We begin by highlighting the assump-tions underlying this process. First, nodes are assumed to be uniformly distributed within the communication area. Second, to calculate the success probability in the helper node selection competition, we use an approximate approach, the classical definition of probability. Actually, it is almost impossible to derive an exact equation for the success probability because of the dynamic charac-teristics of helper node selection competition. Therefore, Eq. (3) has a characteristic that is sensitive to the num-ber of minislots and the number of helper nodes, which will be described in Section 4. Next, it is assumed that all frames, including the DATA frame are susceptible to packet transmission error, which is a more realistic con-sideration than in previous studies [5–15, 21, 22]. For completeness, several system variables required for the performance analysis of the IEEE 802.11b CSMA/CA and eBT-COMAC protocols are defined in Table 1.We begin the analysis of the proposed protocol with the derivation of the cooperation probability. Let us con-sider an example in Fig. 1 where the sender and receiver nodes are far apart and thus can communicate with each other only at a rate of 1 Mbps. In this case, a helper node, located in the shaded area, can help increase the system throughput for communication between the sender and the receiver nodes.



**Lemma 1** The cooperation probability  $p_h$  corresponds to

where,  $r_i$ ,  $p_i$ , and  $p_r$  are defined in Table 1, and  $S_1(\cdot)$  represents the size of overlapping area in Fig. 1.

*Proof* The minimum participation criteria for cooper-ative communication is given in Table 2 when the rela-tion between data transmission rates and ranges for IEEE 802. 11b has those values in Table 3 [20]. The coopera-tion probability  $p_h$  can be approximately expressed as the weighted sum of various ratios of the overlapped area to the transmission area of the sender node when the direct transmission rate is 1, 2, and 5.5 Mbps, respectively. For example, when the direct transmission rate is 1 Mbps with the probability  $p_1$ ,  $\pi r_1^2$  is the transmission area of the sender node and  $S_1(r_2, r_{5.5}, r_1)$  represents the overlapped area when the direct transmission rate between the sender and receiver nodes is

1 Mbps, the sender and the helper nodes transmit the DATA frame at 2 Mbps, and the helper and receiver nodes transmit the DATA frame at 5.5 Mbps. Please see Appendix 1 for the exact derivation



Fig. 5 Flow chart depicting the process at a candidate helper node

As described in Section 2, the helper node selection scheme consists of three steps: HC, EC, and RC competi-tions. The probability of successful helper node selection in each step is provided in Lemma 2.

**Lemma 2** The probability that the optimal helper node is selected successfully from three-step competitions corresponds to

Table 1 Def	inition of	system	parameters
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r	The maximum value of the backoff stage				
т	The maximum value of the contention window				
	size				
$N_s(N_h)$	The number of sender (helper) nodes				
τ	CRTS frame transmission probability on a				
	wireless channel				
$p_m(p_d)$	Control (DATA) frame transmission error				
	probability due to a bad wireless channel				
$p_c$	CRTS frame transmission failure probability due				
	to collision				
<sup>p</sup> sr	Helper node selection success probability				
$^{p}fr$	Helper node selection failure probability				
$R_{i}$	Data transmission rate in Mbps				
	for <i>i</i> = 1, 2, 5.5, 11				
$r_i$	Maximum distance (m) for each $R_i$				
$p^{p}i$	Probability for transmitting DATA at $R_i$				
$p_h$	Cooperation probability that at least one				
	candidate helper node is in cooperation				
$p^{p}r$	Probability that a receiver node is located within				
	its sender node's transmission range				

 $p_{sr} = p_{s1}(1 - p_m) + \{1 - p_{s1}(1 - p_m)\}p_{s2}$   $\cdot (1 - p_m) + \{1 - p_{s1}(1 - p_m)\}$  $\cdot \{1 - p_{s2}(1 - p_m)\}p_{s3}(1 - p_m)$ (3)

*Proof* In the first step, let us define the possible number of candidates participating in HC the minislot contention as  $M_1 = p_h N_h$ . Then the probability  $p_{s1}$  that the best helper node is selected successfully in the HC minislot contention can be calculated as the ratio of the number of successful transmissions of the RTH frame to the total number of possible transmissions.

$$p_{s1} \equiv \frac{A}{(N_{HC})^{M1}}$$
(4)  
$$0, \qquad M_1 < 1$$
$$A = N_{HC}, \qquad M_1 = 1$$
$$^{NHC - 1}_{(NHC - i)^M 1^{-1}, M_1 > 1} i = 1$$

In the second step, let us define the possible number of candidates participating in the EC minislot contention as  $M_2$ . Although an HC minislot is assigned to a candidate

Table 2 Minimum participation criteria for cooperative communication

Direct transmission Minimum criteria for  $R_{SH}$ ,  $R_{HR}$ 

1 Mbps	One over 2 and the other over 5.5 Mbps
2 Mbps	All over 5.5 Mbps
5.5 Mbps	All over 11 Mbps

Table 3 Transmission rates and ranges

Data rate( $R_i$ )	11	5.5	2	1
Distance( <i>r<sub>i</sub></i> )	≤ 48.2	≤ 67.1	≤ 74.7	≤100
Probability( <i>p<sub>i</sub></i> )	)0.23	0.22	0.11	0.44

helper node based on its utility value, let us assume that the location of the HC minislot where the helper node competition is successful is uniformly distributed between 1 and  $N_{HC}$ . Then, it is easy to see that  $M_2 = M_1/N_{HC}$ . The probability  $p_{s2}$  that the best helper node is selected successfully in the EC minislot contention can be calculated as the ratio of the number of successful transmissions of the RTH frame to the total number of possible transmissions.

$$p_{s2} \equiv \frac{B}{(N_{EC})^{M2}}$$
(5)  

$$B \qquad N_{EC}, \qquad M_2 \qquad = 1$$
  

$$0, \qquad M_2 \qquad < 1$$
  

$$=$$
  

$$N_{EC} - 1 = 1$$

In the third step, the RC slot contention, let us define the possible number of candidates participating in the RC slot contention as  $M_3$ , where  $M_3 = M_2/N_{EC}$ . Then, the prob-ability  $p_{s3}$  that the best helper node is selected successfully in the RC slot contention can be calculated as the ratio of the number of successful transmissions of the RTH frame to the total number of possible transmissions.

- c(t): value of the backoff counter,  $c(t) = 0, 1, \dots, W_{b(t)} 1$
- o(t): frame transmission phase,  $o(t) = 0, 1, \dots, 7$ .

Here, the variable o(t) represents the sending phase for each frame, which is shown in Fig. 7: 0 represents the sending phase of a CRTS frame; 1 refers to a CCTS frame, 2, 3, 4, and 5 are for RTH, CTH, DATA1, and DATA2, repectively; 6 represents an ACK frame; and 7 is for DATA frame at direct transmission. We attempt to derive steady-state probabilities for this system state vector. Our mathematical analysis approach is carried out based on previous research in [21–23]. It is assumed that every sender node always has data frames to trans-mit in its buffer, which is known as a saturated traffic model.

The eBT-COMAC protocol uses the same retransmis-sion scheme as IEEE 802.11b and thus, the contention window size at each retransmission is determined by the following rule:

$$2^{i} \quad CW_{min}, \quad 0 \le i \le m$$
$$W_{i} = _{2m} \cdot CW_{min}, \quad m < i \le r.$$
(8)

Transmission failure for the CRTS frame could occur due to collisions with other frames or a bad wireless channel. Therefore, the CRTS frame transmission failure probability is given by

$$p_{s3} \equiv \frac{C}{(N_{RC})^{M3}}$$
(6)
$$p_f = p_c + p_m - p_c p_m.$$
(9)
$$C \qquad N_{RC}, \qquad M_3 \qquad 1 \\ 0, \qquad M_3 \qquad < 1 \\ = \qquad \qquad = \\ (N_{RC} - 1)^{M3 - 1}, M_3 > 1$$

Finally, the probability that the optimal helper node is selected successfully is the weighted sum of the successful selection of helper nodes at each step, which is provided in Eq. (3).  $\Box$ 

RTH frame transmission failure occurs when an optimal helper node is not decided from the three-step competi-tions. This failure probability  $p_{fr}$  corresponds to

$$p_{fr} = 1 - p_{sr}.\tag{7}$$

 $N_{RC}$ 

The frame transmission procedure in the eBT-COMAC protocol, including the backoff operation for each station, is modeled as a Markov chain with the system state vector:

• b(t): backoff stage of the sender node,  $b(t) = 0, 1, \dots, r$ 

Let us define the steady-state probability as  $\alpha_{ijk} \equiv \lim_{t \to \infty} prob.\{b(t) = i, c(t) = j, o(t) = k\}$ . State transition rate diagrams for eBT-COMAC are shown in Figs. 6, 7, and 8. Figure 6 shows the total state transition rate dia-gram, and the detailed descriptions of  $S_i$ ,  $1 \le i \le r$  located in the left side of Fig. 6 are shown in Figs. 7 and 8.

The balance equations for the eBT-COMAC protocol are given by



Fig. 6 Total state transition diagram



**Fig. 7** State transition diagram for  $S_i$ ,  $1 \le i \le r - 1$ 

*Proof* The average time delays  $D_{E}^{k}$ ,  $k = 1, 2, \dots, 7$ , are given by

 $D_{E}^{1} = T_{CCTS} + SIFS$   $D_{E}^{2} = {}^{T}BT + {}^{T}HC + {}^{T}EC + {}^{N}RC {}^{T}RTH + {}^{2T}CTH_{S}$   $D_{E}^{3} = T_{CTHL} + SIFS$   $D_{E}^{4} = T_{DATAc} + SIFS = D_{E}^{5}$   $D_{E}^{6} = T_{ACK}$   $D_{E}^{7} = T_{DATAd} + SIFS.$ 

For example, the phase k = 2 represents the transmission of RTH frames and it means helper node selection competition. Thus,  $D_E^2$  refers to the required time delay for complete failure of the helper node selection proce-dure. This delay consists of a busy tone signal, HC and EC contention periods, RC slots, and two short CTH frame transmissions in the HC and EC contentions, respec-tively. Then, the average time delay from the CCTS frame to complete transmission failure can be expressed as a weighted sum of consumed time delays until complete transmission failure in each phase. If complete failure occurs at the phase k = 4, then, frame transmissions at phases 1, 2, and 3 should be successful. Thus, the time delay from the CCTS frame to DATA frame transmission failure is  $D_S^1 + D_S^2 + D_S^3 + D_E^4$ . Therefore, the average time delay from the CCTS frame to any frame transmission failure corresponds to Eq. (21). The following two theorems provide the expression for evaluating two performance measures of interest: system throughput and channel access delay.

**Theorem 1** (system throughput) *The system throughput is defined as the length of successfully transmitted data in bits during a unit of time, and corresponds to* 

$$TH = \frac{P_{tr}P_s(P_{a1} + P_{a2})(L_d + L_h)}{E[S]} bps \qquad (22)$$

where,  $L_h$  is the sum of the MAC header and PLCP header, E[S] is the average slot time,  $P_{tr}$  is the probability that there is at least one CRTS frame transmission by  $N_s$  mobile users in the considered time duration, and  $P_s$  is the probability that the transmitted CRTS frame is successfully received by the helper node without collision and trans-mission error.  $P_{a1}$ and  $P_{a2}$  are the probabilities that no transmission errors occur during the period from the CCTS frame to ACK frame transmission for direct and two-hop transmissions, respectively.

*Proof* Since CRTS frame transmission by each sender node is modeled as a Beroulli distribution with the probability  $\tau$ , two probabilities  $P_{tr}$  and  $P_s$  are derived as

$$P_{tr} = 1 - (1 - \tau)^{Ns}$$
(23)  
$$\frac{N_{s}\tau (1 - \tau)^{N_{s}-1}}{(1 - \tau)^{N_{s}-1}}$$
(24)  
$$P_{s} = {}^{P}tr \qquad 1 - p_{m} .$$

The probabilities  $P_{a1}$  and  $P_{a2}$  correspond to

 $p_{a1}^{P} = (1 - p_m)^2 p_r p_{fr} (1 - p_d)$  (25)

<sup>*P*</sup>*a*2 = 
$$(1 - p_m)^3 p_r (1 - p_{fr}) (1 - p_d)^2$$
. (26)

Let us define *S* as slot time, representing the time inter-val between two consecutive idle slots. There are four different types of slot times. First, when there is no trans-mission on the channel, the slot time means the slot duration  $T_I = \sigma$ . Second, when the transmission of the CRTS frame results in failure due to collision or a bad wireless channel, the slot time becomes  $T_F$ . Third, when the source node does not receive the ACK frame, even after successful transmission of the CRTS frame, the slot time becomes  $T_E$ . Finally, if the total transmission sce-nario is successful, then this slot time is  $T_{S1}$  for direct transmission or  $T_{S2}$  for a two-hop transmission. These slot time types are indicated as

 $T_{I} = \sigma$   $T_{F} = T_{CRTS} + DIFS + \sigma$   $T_{E} = T_{CRTS} + SIFS + D_{E} + DIFS + \sigma$   $T_{S1} = T_{CRTS} + SIFS + D_{S1} + DIFS + \sigma$   $T_{S2} = T_{CRTS} + SIFS + D_{S2} + DIFS + \sigma$ 

Then, the average value of S is the weighted sum of the four different slot times and is given by

$$E[S] = (1 - P_{tr})T_{I} + P_{s}P_{tr}(P_{a1}T_{S1} + P_{a2}T_{S2})$$
  
+  $P_{s}P_{tr}(1 - P_{a})T_{E} + P_{tr}(1 - P_{s})T_{F}$ . (27)

The probability that the given DATA frame is trans-mitted successfully is the product of three probabilities derived in Eqs. (23)–(26):  $P_{tr}$ ,  $P_s$ , and  $P_{a1} + P_{a2}$ . Because the system throughput can be expressed as the ratio of the total length of the DATA frame successfully transmitted in bits to the average time slot, it corresponds to Eq. (22).

**Theorem 2** (channel access delay) The average channel access delay, which is defined as the time period from the beginning of the backoff to the successful reception of the ACK frame, can be expressed as



Fig. 9 Throughput performance as a function of  $N_{\rm S}$ 



#### 4.2 Numerical results

First, we will explain several abbreviations used in the leg-ends of Figs. 9, 10, 11 and 12 for notifying each numerical result. "ana-ebtmac" and "sim-ebtmac" represent the anal-ysis and simulation results for the eBT-COMAC protocol, respectively. Figure 9 shows the comparison of through-put performances by analysis and simulation results for the eBT-COMAC protocol and analysis results for the IEEE 802.11b DCF without cooperation. These numerical results were obtained when there were 40 helper nodes in the communication area. It is shown that the eBT-COMAC provides enhanced system performance about 58% higher than IEEE 802.11 WLAN (notified as "ana-dcf" in this figure). This coincides with our expectation that cooperative communication has explicit benefits over non-cooperative communication. Simulation results were consistent with the analytical results in all ranges. It is also shown that IEEE 802.11-related MAC protocols provide the best system performance when there are about five sender nodes in the communication area. This figure shows that the eBT-COMAC protocol has an obvious advantage over direct communication. The analytical and simula-tion results are consistent; although there is a discrepancy between the simulation and analysis of about 22% when  $N_s = 100$ , the difference is negligible.

Figure 11 shows a comparison of throughput perfor-mances as a function of the number of helper nodes when  $N_s = 10$ . According to the approximation that we used in deriving the probability  $p_{sr}$  in Eq. (3), analytical results are sensitive to the number of helper nodes. According to Eqs. (4)–(6), when  $M_1 = 1$ ,  $M_2 = 1$ , and  $M_3 = 1$ , helper node competition becomes completely successful with  $p_{sr} = 1$ . In addition, when  $M_1$ ,  $M_2$ , and  $M_3$  is less than one, the probability that the best helper node is successfully decided becomes zero in Eqs. (4)–(6). Signif-icant jumps in this figure occur when  $N_h$  is about 15, 35, and 95. These values of  $N_h$  corresponds to cases when  $M_1$ ,  $M_2$ , and  $M_3$  are slightly greater than one, respectively, and this is why there are significant jumps in this figure.

Figure 12 shows a comparison of delay performances as a function of the number of helper nodes when  $N_s = 10$ . The discrepancy between the simulation and analysis results may be due to our approximation when deriving Eqs. (2) and (3). However, Fig. 12 shows that the simulation results show a slight increase, although it is a little, in the section where the analysis results show a consistent increase.



**Fig. 10** Delay performance as a function of  $N_s$ 

**Fig. 12** Delay performance as a function of  $N_h$ 

Figure 13 shows a throughput comparison of the eBT-COMAC protocol with the reference [14], denoted as "clmac". When  $N_{HC} = N_{EC} = 3$ , it seems that the eBT-COMAC protocol provides slightly lower through-put than the reference [14]. This means that the optimal candidate helper node was not properly selected in the HC and EC minislot contentions of the eBT-COMAC protocol with this number of minislots. However, when  $N_{HC}$  and  $N_{EC}$  are greater than 5, the eBT-COMAC proto-col provides approximately 6% higher system throughput performance than the reference [14] when  $N_{HC} = 8$ . A greater number of minislots contributes to choosing one optimal helper node because helper node candi-dates with different received SNR values can be classified more clearly. However, the system throughput perfor-mance results for reference [14] are almost the same when  $N_{HC}$  and  $N_{EC}$  are 3 and 5, respectively. This

#### Conclusions

In this paper, we presented for the first time, a compre-hensive theoretical performance analysis of an enhanced BT-COMAC protocol and validated the analytical results via numerical simulations. The new helper node selec-tion scheme in the eBT-COMAC protocol is based on received SNR values at each candidate node. This results in a dynamic characteristic that presents challenges in analytical modeling. In this paper, two probabilities, the cooperation probability and the probability that a helper node is successfully selected, were derived based on a geometric analysis. These probabilities, along with steady-state probabilities of backof-related parameters (derived based on a Markov analysis), are used to derive theoret-ical expressions for the system throughput and channel access delay of the eBT-COMAC protocol. Although the analytical results are not exact and are based on approx-imations that provide theoretical tractability, they are for the most part consistent with the numerical simulations. Future work will involve the design of an energy-aware eBT-COMAC protocol that can provide throughput gains while improving network lifetime.

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